



# TRANSMITTAL FORM

EXPRESS MAIL MAILING LABEL NO. EV173088292US

Application Serial Number	10/029,406
Filing Date	December 19, 2001
First Named Inventor	Dai
Group Art Unit	1651
Examiner Name	Not yet assigned.
Attorney Docket No.	INL-053
Patent No.	Not applicable
Issue Date	Not applicable

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form  <input checked="" type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form  <input type="checkbox"/> Amendment/Response  <input type="checkbox"/> Second Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]  <input checked="" type="checkbox"/> Petition for Extension of Time  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Form PTO-1449 <input type="checkbox"/> Copies of IDS Citations  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input checked="" type="checkbox"/> Copy of Notice to File Missing Parts of Nonprovisional Application  <input type="checkbox"/> Transmittal of Formal Drawings  <input type="checkbox"/> Request For Continued Examination (RCE) Transmittal  <input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)  <input type="checkbox"/> Terminal Disclaimer  <input checked="" type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application  <input type="checkbox"/> Small Entity Statement  <input type="checkbox"/> CD(s) for large table or computer program  <input type="checkbox"/> Amendment After Allowance  <input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences  <input type="checkbox"/> Appeal Brief (in triplicate)  <input type="checkbox"/> Status Inquiry  <input checked="" type="checkbox"/> Return Receipt Postcard  <input type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8  <input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8  <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)  1. Response to Notice to File Missing Parts
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## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Respectfully submitted,

Date: September 17, 2002  
Reg. No. 43,153  
Tel. No.: (617) 310-8168  
Fax No.: (617) 248-7100

Diana M. Steel  
Attorney for the Applicants  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



**FEE TRANSMITTAL**  
FY 2002

Complete if Known	
Application Serial Number	10/029,406
Filing Date	December 19, 2001
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METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																												
<b>1. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other	<b>3. ADDITIONAL FEES</b> <table style="width:100%;"> <tr> <th>Large Entity Fee (\$)</th><th>Small Entity Fee (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr> <tr> <td>130</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>130.00</td></tr> <tr> <td>50</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr> <td>130</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr> <td>2,520</td><td>2,520</td><td>Request for ex parte reexamination</td><td></td></tr> <tr> <td>110</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr> <td>400</td><td>200</td><td>Extension for reply within second month</td><td></td></tr> <tr> <td>920</td><td>460</td><td>Extension for reply within third month</td><td>920.00</td></tr> <tr> <td>1440</td><td>720</td><td>Extension for reply within fourth month</td><td></td></tr> <tr> <td>1960</td><td>980</td><td>Extension for reply within fifth month</td><td></td></tr> <tr> <td>320</td><td>160</td><td>Notice of Appeal</td><td></td></tr> <tr> <td>320</td><td>160</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr> <td>280</td><td>140</td><td>Request for oral hearing</td><td></td></tr> <tr> <td>130</td><td>130</td><td>Petitions to the Commissioner</td><td></td></tr> <tr> <td>180</td><td>180</td><td>Submission of Information Disclosure Statement</td><td></td></tr> <tr> <td>740</td><td>370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr> <tr> <td>740</td><td>370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr> <tr> <td>100</td><td>100</td><td>Certificate of Correction for applicant's error</td><td></td></tr> <tr> <td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> <tr> <td colspan="2">Other fee (Specify)</td><td></td><td></td></tr> </table>	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	130	65	Surcharge - late filing fee or oath	130.00	50	25	Surcharge - late provisional filing fee or cover sheet		130	130	Non-English specification		2,520	2,520	Request for ex parte reexamination		110	55	Extension for reply within first month		400	200	Extension for reply within second month		920	460	Extension for reply within third month	920.00	1440	720	Extension for reply within fourth month		1960	980	Extension for reply within fifth month		320	160	Notice of Appeal		320	160	Filing a brief in support of an appeal		280	140	Request for oral hearing		130	130	Petitions to the Commissioner		180	180	Submission of Information Disclosure Statement		740	370	Filing a submission after final rejection (37 CFR 1.129(a))		740	370	For each additional invention to be examined (37 CFR 1.129(b))		100	100	Certificate of Correction for applicant's error		Other fee (Specify)				Other fee (Specify)															
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<b>2. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.</b> <input type="checkbox"/> Required Fees (copy of this sheet enclosed). <input checked="" type="checkbox"/> Additional fee required under 37 CFR 1.16 and 1.17. <input checked="" type="checkbox"/> Overpayment Credit.																																																																																													
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<b>CORRESPONDENCE ADDRESS</b> Direct all correspondence to: Patent Administrator Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100	<b>SIGNATURE BLOCK</b> Respectfully submitted,  Diana M. Steel Attorney for the Applicants Testa, Hurwitz & Thibault, LLP High Street Tower-125 High Street Boston, MA 02110 Date: September 17, 2002 Reg. No.: 43,153 Tel. No.: (617) 310-8168 Fax No.: (617) 248-7100																																																																																												



#3

EXPRESS MAIL MAILING LABEL NO. EV173088292US

PATENT

Attorney Docket No. INL-053  
(4643/95)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Dai et al.  
SERIAL NO.: 10/029,406 GROUP NO.: 1651  
FILING DATE: December 19, 2001 EXAMINER: Not yet assigned  
TITLE: Protein S Functional Assay

Commissioner for Patents  
Washington, D.C. 20231  
ATTN: Box Missing Parts

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS**


In response to the Notice to File Missing Parts dated March 18, 2002, enclosed is a check in the amount of \$3,258.00 for the filing fee of \$2,208.00, \$920.00 for the payment of a three month extension of time and \$130.00 for the payment of the surcharge in compliance with 37 C.F.R. 1.16(l). Also enclosed is an executed Declaration and Power of Attorney for Utility Patent Application and a copy of the Notice to File Missing Parts of Application for filing in the above-identified application.

Please charge Deposit Account No. 20-0531 for any additional fees that may be due in this matter.

Respectfully submitted,

Date: September 17, 2002  
Reg. No. 43,153

Tel. No. (617) 310-8168  
Fax: (617) 248-7100

  
\_\_\_\_\_  
Diana M. Steel  
Attorney for Applicants  
Testa, Hurwitz, & Thibeault  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

EXPRESS MAIL MAILING LABEL

NO. EV173088292US

COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/029,406	12/19/2001	Yong Dai	INL-053

CONFIRMATION NO. 3767

021323

TESTA, HURWITZ & THIBEAULT, LLP  
HIGH STREET TOWER  
125 HIGH STREET  
BOSTON, MA 02110

FORMALITIES LETTER



\*OC000000007654801\*

Date Mailed: 03/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1468.
  - \$936 for 52 total claims over 20.
  - \$252 for 3 independent claims over 3.
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2338.

09/19/2002 CNGUYEN 00000037 10029406

01 FC:101	740.00 DP
02 FC:105	130.00 DP
03 FC:103	936.00 DP
04 FC:102	252.00 DP
05 FC:104	280.00 DP

Copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE